

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q87416

Hiroshi SASAKI

Appln. No.: 10/530,885

Group Art Unit: 1796

Confirmation No.: 4482

Examiner: Michael J FEELY

Filed: April 11, 2005

For: METAL OXIDE FINE PARTICLE-CONTAINING CATIONIC POLYMERIZATION  
TYPE COMPOSITION

**REQUEST FOR A REFUND**

**MAIL STOP 16**

Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant respectfully requests that a refund in the amount of \$200 be credited to Deposit Account No. 19-4880 for the following reason.

Thirty-six (36) claims were filed at the time of filing. On April 15, 2005, \$800.00 was charged to the undersigned firm's Debit Account No. 194880 (see Monthly Statement Deposit Account, control No. 137, Docket No. Q87416).

Part I of U.S. Patent and Trademarks Office's Patent Application Fee Determination Record, in connection with the above-identified Application, erroneously indicates the total number of claims at the time of filing was thirty-two (32) total claims. On January 6, 2006, the U.S. Patent and Trademarks Office charged an additional \$200 to the undersigned firm's Deposit Account for additional claims based Part II of the U.S. Patent and Trademarks Office's Patent Application Fee Determination Record, which indicates that 36 claims were filed after an amendment. Hence, \$200.00 was erroneously charged to Deposit Account No. 194880.

Copies of the New Application Transmittal Cover Letter, as filed on April 11, 2005 (2); the U.S. Patent and Trademarks Office's Patent Application Fee Determination Record (1); the U.S. Patent and Trademarks Office's Multiple Dependent Fee Calculation Sheets (2); and the Deposit Account Monthly Statements (2) are enclosed.

Respectfully submitted,

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON DC SUGHRUE/265550

**65565**

CUSTOMER NUMBER

Date: April 7, 2009

/Nyeemah A. Grazier/  
Nyeemah A. Grazier  
Registration No. 63,657



Total claims	36	-	20	=	<u>16</u>	x	\$50.00	=	<u>\$800.00</u>
Independent claims	<u>1</u>	-	3	=	<u>          </u>	x	\$200.00	=	<u>\$0.00</u>
Base Fee									<u>\$300.00</u>
Search Fee									<u>\$500.00</u>
Examination Fee									<u>\$200.00</u>
Multiple Dependent Claim Fee									<u>\$360.00</u>
<b>TOTAL FEE</b>									<b>\$2160.00</b>



10/530885  
JC12 Rec'd PCT/PTC 11 APR 2005

National Stage Entry of PCT/JP03/13114

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The Office is hereby directed and authorized to charge the statutory filing fee of \$2160.00 to Deposit Account No. 19-4880. You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.16, 1.17 and 1.492 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Priority is claimed from:

Country

Application No

Filing Date

Japan

2002-298895

October 11, 2002

Respectfully submitted,

Mark Boland

Registration No. 32,197

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: April 11, 2005

**MULTIPLE DEPENDENT CLAIM  
FEE CALCULATION SHEET**  
(FOR USE WITH FORM PTO-875)

**10/530885**

SERIAL NO.

FILING DATE

APPLICANT(S)

**CLAIMS**

	AS FILED		AFTER 1 <sup>st</sup> AMENDMENT		AFTER 2 <sup>nd</sup> AMENDMENT	
	IND.	DEP.	IND.	DEP.	IND.	DEP.
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TOTAL IND.		↓	1	↓		↓
TOTAL DEP.		←	35	←		←
TOTAL CLAIMS			36			

	AS FILED		AFTER 1 <sup>st</sup> AMENDMENT		AFTER 2 <sup>nd</sup> AMENDMENT	
	IND.	DEP.	IND.	DEP.	IND.	DEP.
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TOTAL IND.		↓		↓		↓
TOTAL DEP.		←		←		←
TOTAL CLAIMS						

10/530885

# PATENT APPLICATION FEE DETERMINATION RECORD

Effective December 8, 2004

Application or Docket Number

987416

## CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
U.S. NATIONAL STAGE FEES		
BASIC FEE	SMALL ENT. = \$ 150	LARGE ENT. = \$ 300
EXAMINATION FEE	Satisfies PCT Article 33(1)-(4) = \$ 50 / \$ 100	All other situations = \$ 100 / \$ 200
SEARCH FEE	U.S. & ISA = \$ 50 / \$ 100 ALL other countries = \$ 200 / \$ 400	All other situations = \$ 250 / \$ 500
FEE FOR EXTRA SPEC. PGS.	minus 100 =	/ 50 =
TOTAL CHARGEABLE CLAIMS	32 minus 20 =	12
INDEPENDENT CLAIMS	1 minus 3 =	
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

\* If the difference in column 1 is less than zero, enter "0" in column 2

SMALL ENTITY TYPE ☐

OR

OTHER THAN SMALL ENTITY

RATE	FEE		RATE	FEE
BASIC FEE		OR	BASIC FEE	300
EXAM. FEE			EXAM. FEE	200
SEARCH FEE			SEARCH FEE	400
X \$ 125 =			X \$ 250 =	
X \$ 25 =		OR	X \$ 50 =	600
X \$ 100 =		OR	X \$ 200 =	
+ \$ 180 =		OR	+ \$ 360 =	360
TOTAL		OR	TOTAL	1860

## CLAIMS AS AMENDED - PART II

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	36 Minus	32	= 4
Independent	1 Minus	3	= 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY

OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X \$ 25 =		OR	X \$ 50 =	200
X \$ 100 =		OR	X \$ 200 =	
+ \$ 180 =		OR	+ \$ 360 =	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	200

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	Minus		=
Independent	Minus		=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
X \$ 25 =		OR	X \$ 50 =	
X \$ 100 =		OR	X \$ 200 =	
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TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

- \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
  - \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than "20", enter "20".
  - \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than "3", enter "3".
- The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

United States Patent and Trademark Office  
- Sales Receipt -

01/06/2006 ASELLMAN 00000001 194880 10530885

01 FC:1202 200.00 DA

# CLAIMS ONLY

Application Number

10/530885

Filing Date

Applicant(s)

\* May be used for additional claims or amendments

CLAIMS	AS FILED		AFTER FIRST AMENDMENT		AFTER SECOND AMENDMENT	
	Indep	Depend	Indep	Depend	Indep	Depend
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	AS FILED		AFTER FIRST AMENDMENT		AFTER SECOND AMENDMENT	
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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
4	14	05	6	09987638	Q67164	1201	200.00	81061.97
4	14	05	6	10192001	Q66985	2252	225.00	80836.97
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4	14	05	10	10218585	Q71536	1201	400.00	80736.97
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4	15	05	138	10530885	Q87416	1616	360.00	77201.97
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE

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1	5	06	286	11323702	CQ10165	1111	500.00	46209.48
1	5	06	287	11323702	CQ10165	1311	200.00	46009.48
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1	5	06	289	11323701	CQ10169	1111	500.00	45209.48
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AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE